



PATENT  
ATTY. DOCKET NO.: SCRIP1160-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barbas III, *et al.* Art Unit: 1636  
Serial No.: 09/500,700 Examiner: Gerald G. Leffers Jr.  
Filed: February 9, 2000  
Title: ZINC FINGER PROTEIN DERIVATIVES AND METHODS  
THEREFOR

Box Sequence  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

RECEIVED

JAN 15 2003

TECH CENTER 1600/2900

**STATEMENT UNDER 37 C.F.R. §§ 1.821(f) and (g);  
and 37 C.F.R. § 1.825 (a) and (b)**

Sir:

I hereby state, as required by 37 C.F.R. §§ 1.821(f) and (g), that the information recorded in computer readable form is identical to the written sequence listing and does not include new matter.

I hereby state that the submission, filed in accordance with 37 C.F.R. § 1.825(a), is supported in the application, as shown in the enclosed Amendment, and does not include new matter.

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>January 6, 2003</u> , in an envelope addressed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327, Arlington, VA 22202.	
Mikhail Bayley	
Name of Person Mailing Paper	January 6, 2003
Signature	Date

In re Application of:

Barbas III, *et al.*

Application No.: 09/500,700

Filed: February 9, 2000

Page 2

PATENT

ATTY. DOCKET NO.: SCRIP1160-4

I hereby state that the substitute copy of the computer readable form, submitted in accordance with 37 C.F.R. § 1.825 (b), is the same as the amended Sequence Listing.

Respectfully submitted,

Date: January 6, 2003



Lisa A. Haile, J.D., Ph.D.

Reg. No. 38,347

Telephone: (858) 677-1456

Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP

4365 Executive Drive, Suite 1100

San Diego, CA 92121-2133

**Customer Number: 28213**



PATENT  
ATTY. DOCKET NO.: SCRIP1160-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barbas III, *et al.* Art Unit: 1636  
Serial No.: 09/500,700 Examiner: Gerald G. Leffers Jr.  
Filed: February 9, 2000  
Title: ZINC FINGER PROTEIN DERIVATIVES AND METHODS  
THEREFOR

RECEIVED

JAN 15 2003

TECH CENTER 1600/2900

**Box Sequence**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

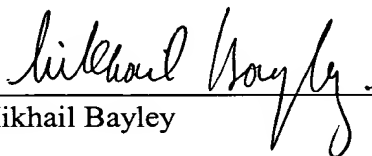
**VERIFIED STATEMENT UNDER 37 C.F.R. § 1.821(f)**

Sir:

I, Mikhail Bayley, declare that I personally prepared the paper and the computer-readable copies of the Sequence Listing filed herewith in the above-entitled case and that the content of both is the same.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of The United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: January 6, 2003

  
Mikhail Bayley

GRAY CARY WARE & FREIDENRICH LLP  
4365 Executive Drive, Suite 1100  
San Diego, CA 92121-2133

**Customer Number: 28213**

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>January 6, 2003</u> , in an envelope addressed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327, Arlington, VA 22202.	
Mikhail Bayley	
Name of Person Mailing Paper	January 6, 2003
Signature	Date



PATENT  
ATTY. DOCKET NO.: SCRIP1160-4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Barbas III, *et al.* Art Unit: 1636  
Serial No.: 09/500,700 Examiner: Gerald G. Leffers Jr.  
Filed: February 9, 2000  
Title: ZINC FINGER PROTEIN DERIVATIVES AND METHODS  
THEREFOR

RECEIVED

JAN 15 2003

Box Sequence  
U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

TECH CENTER 1600/2900

AMENDMENT SUPPORTING SUBSTITUTE COPY OF SEQUENCE LISTING

In response to the Notice to Comply with Requirements for Patent Applications  
Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures mailed August 7,  
2002, Applicants provide herewith a computer readable and a paper copy of the Substitute  
Sequence Listing in accordance with 37 C.F.R. § 1.821 *et seq.*

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>January 6, 2003</u> , in an envelope addressed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327, Arlington, VA 22202.	
Mikhail Bayley	
Name of Person Mailing Paper	January 6, 2002
Signature	Date